



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,528	11/21/2001	Dennis L. Montgomery	42503-273340 ETV-014(U)

CONFIRMATION NO. 3104

FORMALITIES LETTER



OC00000007162758

PILLSBURY WINTHROP, LLP
1600 Tysons Boulevard
McLean, VA 22102

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 12/06/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$9.
 ■ \$9 for 1 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 444.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12.15.01 10:00 AM RECEIVED

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10:00 AM
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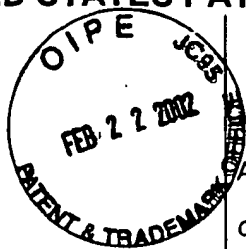
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
\$
#14

In re application of:
Montgomery

Serial No. 09/991,528

Filed: November 21, 2001



COPY OF PAPERS
ORIGINALLY FILED

Atty. Docket No. 042503/0273340 (ETV-014-U)

**Method And System For Size Adaptation And
Storage Minimization Source Noise Correction
And Source Watermarking of Digital Data
Frames**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
the United States Postal Service as First Class Mail addressed
to the Assistant Commissioner of Patents, Washington, D.C.

20231 on February 4 2002

Signed Kathleen M. Smith
Kathleen M. Smith

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Office of Initial Patent Examination

Sir:

In Response to the Notice to File Missing Parts of Application mailed December 6, 2001, a copy of which is
enclosed, Applicant herewith submits the following:

Applicant is entitled to claim small entity status.

- ☐ ___ sheets of drawings
- ☒ Executed Combined Declaration and Power of Attorney of Inventor
- ☒ Assignment and Recordation Cover Sheet
- ☒ Request to Correct Filing Receipt
- ☒ A check is enclosed for **\$484.00** for the following:

Basic Filing Fee, Small Entity	370.00
1 claim in excess of 20 @ \$9	9.00
Small entity surcharge:	65.00
Assignment Recordation Fee	40.00

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3975 (Order No.
042503/0273340 (ETV-014))

Respectfully submitted
PILLSBURY WINTHROP LLP

By David A. Jakopin
David A. Jakopin, Reg. No. 32,995

1600 Tysons Boulevard
McLean, Virginia 22012
650-233-4790

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Montgomery

Serial No. **09/991,528**
Filed: **November 21, 2001**

**Method And System For Size Adaptation And
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Atty. Docket No. 042503/0273340 (ETV-014-U)

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*I hereby certify that this correspondence is being deposited with
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to the Assistant Commissioner of Patents, Washington, D.C.
20231 on February 4 2002*

Signed Kathleen M. Smith
Kathleen M. Smith

REQUEST TO CORRECT FILING RECEIPT

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Office of Initial Patent Examination

Sir:

Applicant requests a corrected filing receipt to rectify a Patent Office typographical error in the title of the application. The requested correction is noted in red ink on the attached copy: the word "adaptation" is misspelled. The title should read as follows:

**Method And System For Size Adaptation And Storage Minimization Source Noise Correction
And Source Watermarking of Digital Data Frames**

No fee is due.

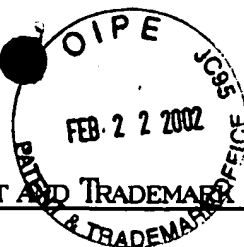
Respectfully submitted
PILLSBURY WINTHROP LLP

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/991,528	11/21/2001	2613	0.00	42503- 273340 ETV-014(U)	4	21	3

PILLSBURY WINTHROP, LLP
1600 Tysons Boulevard
McLean, VA 22102

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CONFIRMATION NO. 3104
FILING RECEIPT



OC00000000716275

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dennis L. Montgomery, Reno, NV;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/06/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

adaptation
Method and system for size adaption and storage minimization source noise correction, and source watermarking of digital data frames

Preliminary Class

348

